



HTX Pro provides comprehensive, global order management seamlessly integrated into its execution management software. The system provides a centralized order blotter, a robust permissioning system, electronic connectivity to both internal and external customers, and pre-and post-trade allocations – all in a consistent, intuitive interface.

## Manage Global Orders Through a Centralized Order Blotter

Orders can be manually or electronically added to a centralized order blotter that can be shared among multiple traders. All or part of an order can be directed to an exchange, to the built-in algorithmic engines, to any one of the advanced applications like HTX Pro Global Pairs or List trading, or another desk or broker. The blotter will keep track of the status of the order, average prices, leaves quantity and much more while preventing overtrading.

Symbol	Order Qty	Side	Status	Filed Qty	Leaves	Qty Available	Avg Price	Fill Value
MSFT	300,000	BUY	WORKING	0	300,000	300,000	0.0000	0
AMZN	150,000	BUY	WORKING	0	150,000	150,000	0.0000	0
USDCO	50,000	BUY	WORKING	0	50,000	50,000	0.0000	0
BTC	100,000	SELL	WORKING	0	100,000	100,000	0.0000	0
CLM	10,000	SELL	WORKING	0	10,000	10,000	0.0000	0
NOVA	50,000	BUY	WORKING	0	50,000	50,000	0.0000	0
HTX	70,000	SELL	WORKING	0	70,000	70,000	0.0000	0
ZFIN	110,000	BUY	WORKING	0	110,000	110,000	0.0000	0
EBAY	110,000	BUY	WORKING	0	110,000	110,000	0.0000	0
GOOG	80,000	BUY	WORKING	0	80,000	80,000	0.0000	0
APPL	120,000	SELL	WORKING	0	120,000	120,000	0.0000	0
PANL	807,000	BUY	WORKING	0	807,000	807,000	0.0000	0

Symbol	Side	Qty	Avg Price	Acct id	Acct Name
AAPL	BUY	50000	63.1900	01	01111
CSCO	SELL	1300	27.1700	01	01111
EBAY	SELL	40700	30.9011	01	01111
MSFT	SELL	2000	30.0700	000001	000001R
MSFT	BUY	3000	30.0700	000001	000001R
PANL	BUY	3000	12.7500	01	01111
R	BUY	10000	50.7300	000001	000001R

  

Account#	Account Name	Qty	Comm %
AK1	AK Acct1	10,000	0.1000
A_CASH	CUSTOMER A	83,000	0.1000
acctA	account A	700	0.1000

## Receive orders from customers

Customer orders can be received through HTX Pro terminals at the customer site or a variety of other sources including customers' OMS systems and in house FIX compliant systems. The orders appear in the centralized blotter to be worked by one or more traders.

## Intra-firm Order Routing

Orders may be routed intra-firm, between trading desks, branch offices, portfolio managers or any other source with either an HTX Pro terminal or FIX compliant system

## Pre Trade Allocations

In cases where a single order is known to belong to a particular account prior to execution, the appropriate account may be chosen when entering the order. The information will seamlessly flow through to the clearing interface without further intervention.

## Post Trade Allocations

Allocations may be entered post trade in cases where pre-trade allocation is not appropriate, such as cases where a single order represents a group of accounts. A simple interface allows the user to allocate an order to one or more accounts after the executions have taken place. Built in safeguards assure that no orders are over-allocated and that allocations are only placed in valid accounts. Once the post trade allocation has been done, the information will seamlessly flow to the clearing interface.

## Multi-User, Multi-Tiered Permissioning And Visibility

Clients can be permissioned to view not only their own activity, but HTX also provides for shared visibility among peers. Heads of desks, branch managers, and risk managers can be permissioned on any of several tiers up to global level to monitor appropriate activity. Also, account representatives can be permissioned to view their client's activities.